



**PATENT**

Docket No. 6169-169

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Itoh, et al.

Serial No.: 09/748,542

Examiner: Unassigned

Date Filed: December 26, 2000

Group: 2641

For: METHOD, APPARATUS, COMPUTER SYSTEM AND STORAGE  
MEDIUM FOR SPEECH RECOGNITION

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR § 1.97(b)**

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**RECEIVED**

Commissioner for Patents  
Washington, DC 20231

**DEC 18 2002**

**Technology Center 2600**

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. § 1.56, the references cited on the accompanying form PTO-1449 are hereby brought to the attention of the Examiner for independent evaluation. A copy of each reference or its U.S. equivalent is enclosed. The references were cited by the Japanese Patent Office in the corresponding Japanese Patent Application.

Certification

This Statement is being filed prior to the issuance of the first Office Action for the present application, and this paper is thus submitted in accordance with 37 C.F.R. § 1.97(b).

In view of the above certification, a fee is not required for consideration of this document. Nevertheless, should a fee be deemed to be due by the Commissioner,

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**Certificate Under 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited as first class mail with the U.S. Postal Service addressed to the Commissioner for Patents, Washington, DC 20231 on

Date

12/10/02

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such fee should be charged to Deposit Account No. 50-0951. Applicant submits that the present invention is patentable over these references.

Respectfully submitted,

Date: 12/10/02

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Form PTO-1449  
(Rev. 2-88)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**6169-169**APPLICATION NO.  
**09/748,542****INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**APPLICANT  
**Itoh, et al.**FILING DATE  
**12/26/00**GROUP  
**2641**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**RECEIVED****DEC 18 2002****Technology Center 2600****FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

<b>MAL</b>	C6	Aoyama, <i>Word Spotting Speech Recognition</i> , Nihon Fuzzy Gakkaishi, <i>Journal of Japan Society for Fuzzy Theory and Systems</i> , Vol. 11, No. 3, pp. 403-407, (06/15/99).
<b>MAL</b>	C7	Murakami, et al., <i>A Spontaneous Speech Recognition Algorithm With Pause and Filled Pause Procedure</i> , ATR Interpreting Telecommunications Research Labs, <i>The Acoustical Society of Japan Spring Research Meeting Lecture Theses Journal</i> , 2-Q-22, pp. 191-192, (10/05/93).
<b>MAL</b>	C8	Murakami, et al., <i>A Spontaneous Speech Recognition Algorithm With Pause and Filled Pause Procedure</i> , Technical Report of IEICE, SP93-127, pp. 71-78, (01/20/94).
<b>MAL</b>	C9	Nagata, <i>Lexical Acquisition From Japanese Based On Statistical Unknown Word Model and Expected Word Frequency</i> , ISPJ Journal, Vol. 40, No. 9, pp. 3373-3386, (09/15/99).

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.